IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Helland et al.

Application No. 09/464,636

Filed: December 15, 1999

For: QUEUED COMPONENT INTERFACE
PASSING FOR RESULTS OUTFLOW
FROM QUEUED METHOD
INVOCATIONS

Examiner:

Date: July 16, 2001

Art Unit: 2756

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on July 16, 2001, as First Class Mail in an envelope addressed to: COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.

Attorney for Applicant

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS
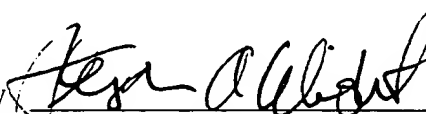WASHINGTON, DC 20231

RECEIVED

JUL 2 7 2001

Technology Center 2100

Enclosed for filing in the application referenced above are the following:

☒ Information Disclosure Statement
☒ Form PTO-1449 and references cited thereon
☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.
☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP

By
Stephen A. Wight
Registration No. 37,759

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

PATENT

Attorney Reference Number 3382-49606

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
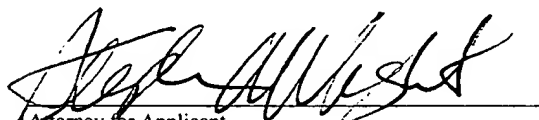
In re application of: Helland et al.

Application No. 09/464,636

Filed: December 15, 1999

For: QUEUED COMPONENT INTERFACE
PASSING FOR RESULTS OUTFLOW
FROM QUEUED METHOD
INVOCATIONS

Examiner:

Date: July 16, 2001

Art Unit: 2756

TRANSMITTAL LETTER

COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Enclosed for filing in the application referenced above are the following:

☒ Information Disclosure Statement

☒ Form PTO-1449 and references cited thereon

☒ The Director is hereby authorized to charge any additional fees that may be required, or credit over-payment, to Account No. 02-4550. A copy of this sheet is enclosed.

☒ Please return the enclosed postcard to confirm that the items listed above have been received.

Respectfully submitted,

KLARQUIST SPARKMAN CAMPBELL
LEIGH & WHINSTON, LLP

By
Stephen A. Wight
Registration No. 37,759

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Helland et al.

Application No. 09/464,636

Filed: December 15, 1999

For: QUEUED COMPONENT INTERFACE
PASSING FOR RESULTS OUTFLOW FROM
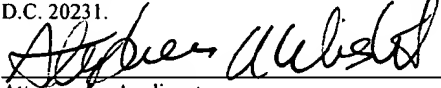QUEUED METHOD INVOCATIONS

Examiner:

Date: July 16, 2001

Art Unit: 2756

INFORMATION DISCLOSURE STATEMENT
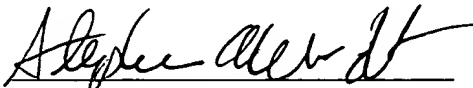## PURSUANT TO 37 C.F.R. § 1.97(b)(3)

COMMISSIONER FOR PATENTS
Washington, DC 20231

   Listed on the accompanying form PTO-1449 and enclosed herewith are several English-language documents. Applicants respectfully request that these documents be listed as references cited on the issued patent.

   Applicants filed this Information Disclosure Statement before the mailing date of a first Office action on the merits. However, if the Patent Office determines that a fee is required for Applicants to file this Information Disclosure Statement, please charge any such fees, or credit overpayment, to Deposit Account No. 02-4550. A **duplicate** copy of this Information Disclosure Statement is enclosed.

        Respectfully submitted,

        KLARQUIST SPARKMAN CAMPBELL
        LEIGH & WHINSTON, LLP

By

        Stephen A. Wight
        Registration No. 37,759

One World Trade Center, Suite 1600
121 S.W. Salmon Street
Portland, Oregon 97204
Telephone: (503) 226-7391
Facsimile: (503) 228-9446

INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket: 3382-49606		App: 09/464,636
	Applicant: Helland et al.		
	Filed: December 15, 1999	Art Unit: 2756	

OTHER DOCUMENTS

			Brockschmidt, "Local/Remote Transparency," *Inside OLE*, 2nd Edition, pp. 277-338, 1995.
			Lam, "Building Scalable Apps," *PC Magazine*, pp. 209-210, 212-214, April 21, 1998.
			"White Paper, DCOM Technical Overview," Microsoft Corporation, pp. 1-43, April 1998.
			Orfali et al., "COM: OLE's Object Bus," *The Essential Distributed Objects Survival Guide*, pp. 429-452, 1996.
			Orfali et al., "CORBA Services: System Management and Security," *Essential Client/Server Survival Guide*, pp. 183-202, 1994.
			"Chapter 9: Connectable Objects," *The Component Object Model*, http://www.microsoft.com/oledev/olecom/Ch09.htm, pp. 1-12, 1996.
			Hackathorn, "Publish or Perish, http://www.byte.com/art/9709/sec6/art1.htm, *BYTE Magazine*, pp. 1-15, September 1997.
			Hamilton (Ed.), *JavaBeans*, Sun Microsystems, Inc., Version 1.01, pp. 1-114, July 24, 1997.
			Horstmann et al., *DCOM Architecture*, pp. 1-55, July 23, 1997.
			Hurwicz, "Multicast to the Masses," http://www.byte.com/art/9706/sec6/art6.htm, *BYTE Magazine*, pp. 1-10, June 1997.
			Hackathorn, "Data Delivery When You Want It," http://www.byte.com/art/9706/sec4/art1.htm, *BYTE Magazine*, 8 pp., June 6, 1997.
			Loshin, "Publish and Subscribe Meets the Internet," http://www.byte.com/art/9802/sec12/art1.htm, *BYTE Magazine*, pp. 1-11, February 1998.
			"Managing Performance/Reliability Trade-Offs," http://www.byte.com/art/9802/sec12/art3.htm, *BYTE Magazine*, pp. 1-2, February 1998.

		INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket: 3382-49606	App: 09/464,636
			Applicant: Helland et al.	
			Filed: December 15, 1999	Art Unit: 2756

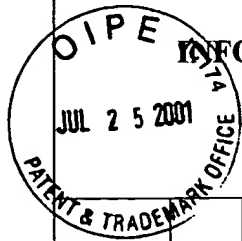|			
			"Monkey in the Middleware," http://www.byte.com/art/9802/sec12/art2.htm, *BYTE Magazine*, pp. 1-2, February 1998.
			Montgomery, "Distributing Components," http://www.byte.com/art/9704/sec8/art1.htm, *BYTE Magazine*, 14 pp., April 1997.
			Skeen, "Enabling the Real-Time Enterprise," http://www.byte.com/art/9801/sec4/art5.htm, *BYTE Magazine*, 9 pp., January 1998.
			Matena et al., *Enterprise JavaBeans™*, Version 1.0, Sun Microsystems, pp. 1-95, 97-145, and 147-181, March 21, 1998.
			"The Component Object Model: Technical Overview," *Microsoft Component Object Model (COM): A Technical Overview of COM*, http://www.microsoft.com/com/wpaper/Com_modl.html, pp. 1-17, 1996.
			Seetharaman, "The CORBA Connection," *Communications of the ACM*, Vol. 41, No. 10, pp. 34-36, October 1998.
			Siegel, "OMG Overview: CORBA and OMA in Enterprise Computing," *Communications of ACM*, Vol. 41, No. 10, pp. 37-43, October 1998.
			Vinoski, "New Features for CORBA 3.0," *Communications of the ACM*, Vol. 41, No. 10, pp. 44-52, October 1998.
			Schmidt, "Evaluating Architectures For Multithreaded Object Request Brokers," *Communications Of The ACM*, Vol. 41, No. 10, pp. 54-60, October 1998.
			Henning, "Binding, Migration, and Scalability in CORBA," *Communications of the ACM*, Vol. 41, No. 10, pp. 62-71, October 1998.
			Haggerty, "The Benefits of CORBA-Based Network Management," *Communications of the ACM*, Vol. 41, No. 10, pp. 73-79, October 1998.
			Grimes, "Security," *Professional DCOM Programming*, Wrox Press Ltd., pp. 319-387, 1997.
			Schwartz et al., "Intel's Middleware Move," *InfoWorld*, Vol. 20, No. 9, pp. 1-2, March 2, 1998.

INFORMATION DISCLOSURE STATEMENT **BY APPLICANT**		Docket: 3382-49606	App: 09/464,636
		Applicant: Helland et al.	
		Filed: December 15, 1999	Art Unit: 2756

Sessions, "Sharing and Scalability," *COM and DCOM*, pp. 249-313, 1998.

Hackathorn, "Data Delivery When You Want It," http://www.byte.com/art9706/sec4/art1.htm, *BYTE Magazine*, pp. 1-7, June 1997.

Loshin, "Publish and Subscribe Meets the Internet," http://www.byte.com/art/9802/sec12/art1.htm, *BYTE Magazine*, pp. 1-10, February 1998.

"Event Service Specification," *CORBAservices: Common Object Services Specification*, pp. 4-1 through 4-34, March 1995.

"TIB/Rendezvous," http://www.rv.tibco.com/datasheet.html, pp. 1-3, June 29, 1999.

"TIB/Rendezvous," http://www.rv.tibco.com/whitepaper.html, pp. 1-14, June 29, 1999.

Hamilton (Ed.) "Events," *JavaBeans,* Sun Microsystems, pp. 24-39, 1997.

King, *Inside Windows 95*, Microsoft Press, pp. 296-303, 1994.

Schroeder et al., *Performance of Firefly RPC*, Digital Equipment Corporation, pp. 1-15, 1989.

Thacker et al., *Firefly: A Multiprocessor Workstation*, Digital Equipment Corporation pp. 1-13, 15, and 17-18, 1987.

"CORBA Overview," *The Common Object Request Broker: Architecture and Specification*, Revision 2.0, pp. 2-1 through 2-17, July 1995.

The Common Object Request Broker: Architecture and Specification, Revision 2.0, pp. 4-12 through 4-16, July 1995.

OIPE JUL 2 5 2001 PATENT & TRADEMARK OFFICE

RECEIVED JUL 2 6 2001 Technology Center 2100

			INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Docket: 3382-49606	App: 09/464,636
				Applicant: Helland et al.	
				Filed: December 15, 1999	Art Unit: 2756

			Nance, "Balance the Load with Transaction Server," http://www.byte.com/art/9706/sec6/art1.htm, *BYTE Magazine*, pp. 1-8, June 1997.
			McKay, "Microsoft Talks Up Tools," *InfoWorld*, Vol. 20, No. 19, 2 pp., May 11, 1998.
			Bowen, "Intel's Middleware Move," *InfoWorld*, Vol. 20, No. 9, pp. 1-2, 1998.
			Teegan, "Distributed Performance Monitoring Using SNMP V2," *Network Operations and Management Symposium, IEEE*, pp. 616-619, 1996.
			Spuler, *Enterprise Application Management with PATROL*, First Edition, Prentice Hall PTR, March 4, 1999.
			Brockschmidt, "An Overview of OLE," *Inside OLE*, 2nd Edition, pp. 3-60, 1995.
			Brockschmidt, 2nd Edition, pp. 248-251, 1995.
			Orfali et al., "Distributed Object Services," *Essential Client/Server Survival Guide*, pp. 367-372, 1994.
			"Single-threaded Apartments", Microsoft.com website, pp. 1-2, May 26, 1998.
			"Using Secure RPC," MSDN Library CD, pp. 1-7, April 1998.
			Brown et al., "Distributed Component Object Model Protocol -- DCOM/1.0," http://globecom.net/ietf/draft/draft-brown-dcom-v1-spec-03.html, pp. 1-52, January 1998.

EXAMINER:	DATE

*Examiner: Initial if considered, whether or not in conformance with MPEP 609; draw line through cite if not in conformance and not considered. Send copy.